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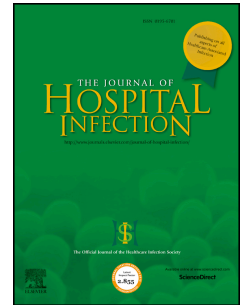
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Surface disinfection challenges for *Candida auris*: an *in vitro* study

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Abstract

The emerging pathogenic multidrug-resistant yeast *Candida auris* is an important source of healthcare-associated infections and of growing global clinical concern. The ability of this organism to survive on surfaces and withstand environmental stressors creates a challenge for eradicating it from hospitals. A panel of *C. auris* clinical isolates was evaluated on different surface environments against the standard disinfectant sodium hypochlorite and high level disinfectant peracetic acid. *C. auris* was shown to selectively tolerate clinically relevant concentrations of sodium hypochlorite and peracetic acid in a surface dependent manner, which may explain its ability to successfully persist within the hospital environment.

1 Introduction

2 Worldwide, fungal infections affect more than a billion people, resulting in
3 approximately 11.5 million life-threatening infections and more than 1.5 million
4 deaths annually. There have been significant strides made in tackling these
5 infections over the past decade, but the global impact of these measures has
6 yet to be realized [1]. An important fungus worth consideration in this context is
7 the multidrug-resistant yeast *Candida auris*, which has been increasingly
8 described as a major global concern and cause of major nosocomial outbreaks
9 [2]. The implications for infection control are significant. Understanding the
10 mechanisms of spread and survival of this pathogen in the hospital
11 environment is therefore crucial, particularly as it is able to persist on plastics
12 and steel, and survive as biofilms [3, 4]. Several recent investigations have
13 confirmed that *C. auris* is capable of prolonged survival on surfaces [4, 5], and
14 have shown that surface disinfection protocols have variable and
15 unsatisfactory outcomes [5]. Given that it has been shown recently that 1000
16 ppm of an active chlorine solution is highly effective against these organisms
17 when tested in suspension [6] the interaction between the pathogen and
18 surfaces is likely to be important in determining survival of *C. auris* in the
19 hospital environment. Our own work confirms this, with *C. auris* biofilms being
20 generally insensitive to a range of key antimicrobial agents, thus prolonging
21 their survival capacity [3]. The purpose of this study was to investigate the
22 general disinfectant sodium hypochlorite (NaOCl), commonly used for terminal
23 cleaning within the hospital environment, and the high level disinfection agent
24 peracetic acid (PA), on different substrate surfaces. These data will support
25 our understanding of how *C. auris* responds to different levels of challenge on
26 surfaces representative of the hospital environment.

28 Material and Methods

29 Strains and culture conditions

30 Four *Candida auris* (Ca) isolates obtained from various clinical sites [7], (NCPF
31 8971, NCPF 8973, NCPF 8977, NCPF 8978) were used, as previously
32 described [3]. All isolates were identified by ribosomal DNA (rDNA) gene
33 sequencing or matrix-assisted laser desorption ionization–time of flight
34 (MALDI-TOF) [7]. *Candida glabrata* (Cg) ATCC 2001 and *Candida albicans*

(Ca) ATCC 10231 were used as reference strains. All strains were stored and maintained on Sabouraud dextrose (SAB) agar (Oxoid, Hampshire, UK) prior to propagation in yeast peptone dextrose (YPD) (Sigma-Aldrich, Dorset, UK) medium overnight at 30°C. Cells were prepared according to a modified version of the British Standards for chemical disinfectants and antiseptics [8]. Briefly, cells were washed by centrifugation in phosphate buffered saline ([PBS] Sigma-Aldrich, Dorset, UK), and standardised to 1×10^7 cells/mL in sterile water containing 5% foetal bovine serum to simulate organic material.

Surface disinfection testing

The following test surface substrates were used: cellulose matrix (IPS Converters, Oldham, UK [1.25cm^2]), 304 stainless steel (LaserMaster, Redruth, UK [3.14cm^2]) and Thermanox™ polyester coverslips (Fisher Scientific, Loughborough, UK [1.32cm^2]). Following the adhesion phase, non-adherent cells were removed by washing with 1 mL PBS. Next, each surface was challenged with NaOCl 1000 ppm or 10000 ppm, (Fisher Scientific, Loughborough, UK) or PA 2000 ppm (Acros Organics, Geel, Belgium). Both agents were diluted to their working concentrations in sterile water. Following 5 min or 10 min exposure, disinfectants were neutralized with 5% sodium thiosulphate (Fisher Scientific, Loughborough, UK) for 15 min. The neutralizer alone did not have a detrimental impact on *Candida* viability when treated in the absence of a disinfectant (data not shown). Substrate sections were then sonicated at 35 kHz for 10 min in sterile H₂O to remove cells, and serial ten-fold dilutions in sterile water were plated on to SAB agar according to the Miles and Misra plate count method; plates were incubated at 30°C for 48 h. Parallel experiments were also performed to assess the potential for regrowth following disinfection procedures. After treatment and neutralization as described above, test coupons were replaced in 10mL of fresh YPD media and incubated for 24 h at 30°C with gentle rotation at 100 rpm. Substrate adhered *C. auris* cells treated with sterile water acted as a positive control, with substrates containing no *C. auris* cells included as negative controls throughout this study. After 24 h, the optical density readings were measured at a wavelength of 530nm (OD₅₃₀) using a microtitre plate reader (FluoStar Omega, BMG Labtech, Aylesbury, UK).

Statistical analysis

Data distribution, statistical analysis and graph production was performed using GraphPad Prism (version 7; La Jolla, CA, USA). Student t-tests were used to compare treated and untreated samples. A one-way analysis of variance and post-hoc Tukey test was used to compare the effectiveness of each disinfectant against the 3 different substrates. All experiments were performed in triplicate on three independent substrates, with the mean of each experiment used for analyses. Statistical significance was achieved if $p < 0.05$.

Results

Initially, a standard disinfectant challenge was performed against *C. auris* on different substrates relevant to the hospital environment. A cellulose substrate was included to act as control for porosity. All four *C. auris* were significantly killed by NaOCl challenge at 1000 and 10000 ppm, irrespective of substrate and strain, though differences were observed between these substrates. Complete eradication (100%) was only achieved on the cellulose substrate (Fig 1A). On the non-porous materials, significant quantities of viable yeast cells were killed on the steel surface following NaOCl at all treatment parameters, with an approximate $2.5 \log_{10}$ reduction ($p < 0.001$), with no significant differences observed at each time point and concentration tested (Fig 1B). Notably, those isolates treated with 1000 ppm for 5 min showed significantly more regrowth compared to the other test conditions ($p < 0.001$). When *C. auris* was tested on a polymer substrate 5 min exposure at 1000ppm was the least effective overall; although there was significant activity observed (mean \log_{10} reduction = 1.29; $p < 0.001$), 4.95 \log_{10} was retained on the surface (Fig 1C). However, following an increased contact time of 10 min, or increased concentration to 10000 ppm, significantly enhanced activity was observed ($p < 0.001$), with an approximate overall 3.5 \log_{10} reduction. When comparing both increased treatment parameters, no significant differences were observed between the regimens ($P = 0.347$), and no notable regrowth was detected.

Following a standard disinfection challenge, the efficacy of the HDL agent PA was assessed. When tested against 2000 ppm of PA, it was shown that all *C.*

auris isolates were significantly killed by this agent. However, differences were again detected between substrates. As observed with NaOCl, complete eradication (100%) was achieved on the cellulose matrix (Fig 2A), with this same fungicidal activity also observed on the polymer substrate (Fig 2B). However, compared to the other two substrates, significant quantities of viable cells were recovered from the steel substrate following PA challenge (mean $\log_{10} = 3.19$; $p < 0.001$), with an overall $2.70 \log_{10}$ reduction ($p < 0.001$) (Fig 2C). When re-inoculated into media post-challenge, substantial regrowth was recorded from both steel and polymer substrates, with minimal quantities recovered from the cellulose substrate.

For both disinfectants on each of the substrates, no differences were observed between strains, and both exhibited a similar profile to *C. glabrata* and *C. albicans*. Similarly, the presence of BSA was shown to have no effect of any treatments compared to no BSA controls. Liquid suspension tests showed that NaOCl and PA were highly effective at < 20 ppm and 40ppm, respectively.

Discussion

Although the precise mechanism of *C. auris* nosocomial transmission remains unknown, it is thought to be a multi-factorial process that involves colonization of the healthcare environment and equipment. *C. auris* has been reported to tolerate a number of environmental stressors, including temperature and salt, and some strains appear to have different phenotypes; all of these factors may have a role in persistence within the environment and the host [7]. We therefore set out to investigate how resilient *C. auris* is within a controlled disinfection challenge using clinical isolates from the UK [7]. Here we report for the first time that both standard and high-level disinfection strategies were unable to completely eradicate *C. auris* from non-porous substrates.

Chlorine based disinfectants have variable yeasticidal activity against planktonic *C. auris* [6, 9], though their role in surface disinfection procedures lacks definitive evidence. Recently, it has been shown that quaternary ammonium compounds were poorly active against *C. auris*, whereas Environmental Protection Agency registered hospital disinfectants, such as

NaOCl containing solutions, were fungicidal on surfaces [5]. In a recent UK outbreak, Schelenz and colleagues implemented chlorine based disinfectants at 1000ppm three times daily for environmental cleaning, and 10000ppm for terminal cleaning [2]. The data presented herein support this approach, although we showed that length of exposure at 1000ppm is an important consideration.

It was interesting that we observed a significant difference in activity between polymer and steel, which could be explained by the general ability of *Candida* species to adhere and form biofilms that are inherently more resistant. Whereas the isolates on steel responded by approximately 3 log₁₀ equally to the treatments regimens, on plastic we demonstrated differential activity depending on concentration and time of exposure to NaOCl. Another study reported greater efficacy of chlorine based products on steel [5], but differences in experimental design may explain this, e.g. products and inoculum. Taken together, these data suggest the standard disinfection procedures are surface dependent, and given the diversity of fomites in the hospital setting then this could pose a problem for disinfection. To this end we decided to explore a representative high-level disinfection protocol. Here PA was used, a disinfectant routinely used for endoscope reprocessing. It was shown that on plastic polymers this disinfectant challenge was more effective, showing significant reduction compared to stainless steel. To our knowledge, ours is the first report to investigate this agent. Although PA may offer a superior disinfectant strategy our data suggest that there may still be a risk of transmission of *C. auris* via contaminated endoscopes. *C. auris* has been isolated from a number of clinical sources [7], so it is reasonable to suggest that this and other hospital instruments could facilitate transmission.

Recent studies have suggested that *C. auris* has been shown to survive on steel and plastic surfaces for 1 and 4 weeks, respectively [4, 10]. Comparison of *C. auris* to *C. parapsilosis* persistence on plastics was quantified under controlled hospital conditions (temperature and humidity). Low density test suspensions (10⁴) of *C. auris* were shown to remain viable (CFU counts) for up to 14 days, though more sensitive esterase measurements suggested viable

activity up to 28 days that was comparable to *C. parapsilosis* [4]. Piedrahita and colleagues further investigated *C. auris* in comparison to *C. albicans*, *C. glabrata* and *C. parapsilosis*, specifically looking at moist and dry inocula over 7 days. Here they demonstrated that in moist conditions all species were recovered in near maximum efficiency after 7 days. By comparison, only 40% of the dried inoculum of *C. auris* was recovered on the steel substrate; although this was a significantly higher recovery than with *C. albicans*, *C. glabrata* and *C. parapsilosis* recovery rates were higher still at approximately 65% [10]. This is in line with our own findings, showing comparable disinfectant sensitivity profiles for both *C. auris* and *C. glabrata*. Nevertheless, given the multi-drug resistance phenotype of *C. auris* compared to other species its ability to persist is of concern.

While this study provides a useful insight into potential complications with disinfectant procedures, there are some limitations. We only tested a limited panel of *C. auris* strains on a limited number of surfaces, although they did demonstrate similar sensitivity profiles across each tested parameter. Future studies should aim to undertake extensive analysis with commercial products in conjunction with up-to-date infection control guidelines.

In conclusion, this study reveals the potential challenges we face in controlling this emerging fungal pathogen in healthcare environments. Only with a greater understanding of the biology of this multi-resistant pathogen will we be able to identify the optimal control interventions.

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Figure 1. Efficacy of sodium hypochlorite on *Candida auris*, *Candida glabrata* and *Candida albicans* on three different substrates.

Cellulose matrix (A), stainless steel (B) and polymer (C) were inoculated with 1×10^7 cells/mL of *C. auris* (NCPF), *C. glabrata* (CG) and *C. albicans* (CA) for 90 min before being treated with 1000ppm NaOCl for 5 min, 1000ppm for 10 min and 10000ppm for 5 min. Viable cells were then quantified by CFU (left hand y-axis) and regrowth was measured spectrophotometrically (right hand y-axis). Data represents means \pm standard deviation of triplicate datasets, with CFU \log_{10} reduction of each test substrate normalised to 1cm^2 . # indicates complete eradication compared to untreated control. N.A. = not applicable.

Figure 2. Efficacy of PA on *Candida auris*, *Candida glabrata* and *Candida albicans* on three different substrates.

Cellulose matrix (A), stainless steel (B) and polymer (C) were inoculated with 1×10^7 cells/mL of *C. auris* (NCPF), *C. glabrata* (CG) and *C. albicans* (CA) for 90 min before being treated with 2000ppm PA for 5 min. Cell viability (left hand y-axis) and re-growth (right hand y-axis) were quantified by CFU and spectrophotometric readings, respectively. Data represents means \pm standard deviation of triplicate datasets, with CFU \log_{10} reduction of each test substrate normalised to 1cm^2 . # indicates complete eradication compared to untreated control.

